Welcome to the Integrated Research Application System

IRAS Project Filter

The integrated dataset required for your project will be created from the answers you give to the following questions. The system will generate only those questions and sections which (a) apply to your study type and (b) are required by the bodies reviewing your study. Please ensure you answer all the questions before proceeding with your applications.

Please complete the questions in order. If you change the response to a question, please select 'Save' and review all the questions as your change may have affected subsequent questions.

Please enter a short title for this project (maximum 70 characters) Perioperative Quality Improvement Programme: Patient Study

1. Is your project research?

Yes ONO

2. Select one category from the list below:

O Clinical trial of an investigational medicinal product

Clinical investigation or other study of a medical device

Combined trial of an investigational medicinal product and an investigational medical device

Other clinical trial to study a novel intervention or randomised clinical trial to compare interventions in clinical practice

O Basic science study involving procedures with human participants

• Study administering questionnaires/interviews for quantitative analysis, or using mixed quantitative/qualitative methodology

Study involving qualitative methods only

O Study limited to working with human tissue samples (or other human biological samples) and data (specific project only)

Study limited to working with data (specific project only)

Research tissue bank

Research database

If your work does not fit any of these categories, select the option below:

Other study

| 2a. Please answer the following question(s): | | | |
|---|-------|------|--|
| a) Does the study involve the use of any ionising radiation? | ◯ Yes | 💿 No | |
| b) Will you be taking new human tissue samples (or other human biological samples)? | ⊖ Yes | 💿 No | |
| c) Will you be using existing human tissue samples (or other human biological samples)? | ⊖ Yes | 🖲 No | |
| | | | |

3. In which countries of the UK will the research sites be located?(*Tick all that apply*)

🖌 England

Scotland

| Wales Northern Ireland 3a. In which country of the UK will the lead NHS R&D office be located: England Scotland Wales Northern Ireland This study does not involve the NHS 4. Which applications do you require? IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. IRAS Form |
|--|
| England Scotland Wales Northern Ireland This study does not involve the NHS 4. Which applications do you require? IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| Scotland Wales Northern Ireland This study does not involve the NHS 4. Which applications do you require? IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| Wales Northern Ireland This study does not involve the NHS 4. Which applications do you require? <i>IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate.</i> |
| Northern Ireland Northern Ireland Northern Ireland Northern Ireland Northern Ireland Northern Ireland, Scotland or Wales select 'INHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| This study does not involve the NHS A. Which applications do you require? IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| 4. Which applications do you require? IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| IMPORTANT: If your project is taking place in the NHS and is led from England select 'IRAS Form'. If your project is le from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| from Northern Ireland, Scotland or Wales select 'NHS/HSC Research and Development Offices' and/or relevant Research Ethics Committee applications, as appropriate. |
| ✓ IRAS Form |
| |
| Confidentiality Advisory Group (CAG) |
| National Offender Management Service (NOMS) (Prisons & Probation) |
| For NHS/HSC R&D Offices in Northern Ireland, Scotland and Wales the CI must create NHS/HSC Site Specific Information forms, for each site, in addition to the study wide forms, and transfer them to the PIs or local collaborators. |
| For participating NHS organisations in England different arrangements apply for the provision of site specific information. Refer to IRAS Help for more information. |
| Most research projects require review by a REC within the UK Health Departments' Research Ethics Service. Is your study exempt from REC review? |
| 5. Will any research sites in this study be NHS organisations? |
| |
| 5a. Are all the research costs and infrastructure costs (funding for the support and facilities needed to carry out research e.g. NHS Support costs) for this study provided by a NIHR Biomedical Research Centre, NIHR Biomedical Research Unit, NIHR Collaboration for Leadership in Health Research and Care (CLAHRC), NIHR Patient Safety Translational Research Centre or a Diagnostic Evidence Co-operative in all study sites? Please see information button for further details. |
| <u> </u> |
| Please see information button for further details. |

Please see information button for further details.

💿 Yes 🛛 🔘 No

| The NIHR Clinical Research Network provides researchers with the practical support they need to make clinical studies happen in the NHS e.g. by providing access to the people and facilities needed to carry out research "on the ground". | | |
|--|--|--|
| If you select yes to this question, you must complete a NIHR Clinical Research Network (CRN) Portfolio Application Form (PAF) immediately after completing this project filter question and before submitting other applications. Failing to complete the PAF ahead of other applications e.g. HRA Approval, may mean that you will be unable to access NIHR CRN Support for your study. | | |
| | | |
| 6. Do you plan to include any participants who are children? | | |
| ○ Yes | | |
| | | |
| 7. Do you plan at any stage of the project to undertake intrusive research involving adults lacking capacity to consent for themselves? | | |
| ○ Yes | | |
| Answer Yes if you plan to recruit living participants aged 16 or over who lack capacity, or to retain them in the study following loss of capacity. Intrusive research means any research with the living requiring consent in law. This includes use of identifiable tissue samples or personal information, except where application is being made to the Confidentiality Advisory Group to set aside the common law duty of confidentiality in England and Wales. Please consult the guidance notes for further information on the legal frameworks for research involving adults lacking capacity in the UK. | | |
| | | |
| 8. Do you plan to include any participants who are prisoners or young offenders in the custody of HM Prison Service or who are offenders supervised by the probation service in England or Wales? | | |
| ○ Yes | | |
| | | |
| 9. Is the study or any part of it being undertaken as an educational project? | | |
| ○ Yes | | |
| | | |
| 10. Will this research be financially supported by the United States Department of Health and Human Services or any of its divisions, agencies or programs? | | |
| ○ Yes | | |
| | | |
| 11. Will identifiable patient data be accessed outside the care team without prior consent at any stage of the project (including identification of potential participants)? | | |
| ○ Yes | | |
| | | |

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NOTICE OF SUBSTANTIAL AMENDMENT

Please use this form to notify the main REC of substantial amendments to all research other than clinical trials of investigational medicinal products (CTIMPs). The form should be completed by the Chief Investigator using language comprehensible to a lay person.

Details of Chief Investigator:

| Work Address | Title Forename/Initials Surname Dr Suneetha Ramani Moonesinghe Anaesthetics Department Podium 3, Maple Link corridor, University College Hospital 235 Euston Road |
|--------------|---|
| PostCode | NW12BU |
| Email | ramani.moonesinghe@nhs.net |
| Telephone | |
| Fax | |

| For guidance on this section of the form refer to the guidance | | | | |
|--|--|--|--|--|
| Full title of study: | Improving perioperative care through the use of quality data: Patient Study of the Perioperative Quality Improvement Programme | | | |
| Lead sponsor: | University College London | | | |
| Name of REC: | South East Coast | | | |
| REC reference number: | 16/LO/1827 | | | |
| | | | | |
| Additional reference number(s): Ref.Number Description | Reference Number | | | |
| Ref.Number Description | Reference Number University College London Hospitals NHS Foundation Trust | | | |
| Ref.Number Description Name of lead R&D office: | | | | |
| Additional reference number(s): Ref.Number Description Name of lead R&D office: Date study commenced: Protocol reference (if applicable), current version and date: | University College London Hospitals NHS Foundation Trust | | | |

Type of amendment

(a) Amendment to information previously given in IRAS

🔵 Yes 🛛 💿 No

If yes, please refer to relevant sections of IRAS in the "summary of changes" below.

(b) Amendment to the protocol

Yes ONO

If yes, please submit <u>either</u> the revised protocol with a new version number and date, highlighting changes in bold, or a document listing the changes and giving both the previous and revised text.

(c) Amendment to the information sheet(s) and consent form(s) for participants, or to any other supporting documentation for the study

🔵 Yes 🛛 💿 No

If yes, please submit all revised documents with new version numbers and dates, highlighting new text in bold.

Is this a modified version of an amendment previously notified and not approved?

🔵 Yes 🛛 💿 No

Summary of changes

Briefly summarise the main changes proposed in this amendment. Explain the purpose of the changes and their significance for the study.

If this is a modified amendment, please explain how the modifications address the concerns raised previously by the ethics committee.

If the amendment significantly alters the research design or methodology, or could otherwise affect the scientific value of the study, supporting scientific information should be given (or enclosed separately). Indicate whether or not additional scientific critique has been obtained.

There are two changes we wish to highlight:

Change 1:

To clarify that the close of the study will be when either 70,000 patients have been recruited, or 4 years after the study began, whichever is the later.

Change 2:

The original patient recruitment strategy was to recruit a maximum of 5 patients per site per week using a sampling strategy. We would like to change this to approaching ALL eligible patients undergoing major surgery per site per week OR recruiting 5 patients per week using the original sampling strategy.

The original sampling strategy was developed to ensure feasibility of the study and to avoid the risk of "gaming" around which patients were approached for consent. Most hospital sites would be able to collect data on 5 patients per week, as this is an acceptable work load for local investigators. However, some participating sites have expressed interest and have the resources to collect data for all patients undergoing major surgery. This will increase the amount of local patient data for local teams to improve their patient care. It will also help the study reach its 70,000 target sample size.

During study set-up at each site we will ask PIs to confirm which approach they are taking - sampling or approach of all eligible patients. This will enable us to use this information when interpreting / analysing their data.

These changes are highlighted in accompanying amended protocol on page 3 (study summary), page 7 (section 4, study design) and page 9 (section 5; study schedule)

Any other relevant information

Applicants may indicate any specific issues relating to the amendment, on which the opinion of a reviewing body is sought.

List of enclosed documents

Document

Version

| Protocol | 1.5 | 28/11/2016 |
|----------|-----|------------|

Declaration by Chief Investigator

- 1. I confirm that the information in this form is accurate to the best of my knowledge and I take full responsibility for it.
- 2. I consider that it would be reasonable for the proposed amendment to be implemented.

This section was signed electronically by Dr Suneetha Ramani Moonesinghe on 02/12/2016 10:48.

Job Title/Post: Consultant

Organisation: UCLH

Email: ramani.moonesinghe@nhs.net

Declaration by the sponsor's representative

I confirm the sponsor's support for this substantial amendment.

This section was signed electronically by Miss Tabitha Kavoi on 19/12/2016 15:02.

| Job Title/Post: | Research Management and Governance Manager |
|-----------------|--|
| Organisation: | University College London |
| Email: | randd@uclh.nhs.uk |